# all you ever wanted to know about grain size and never dared to ask (...)

a film by Renée Heilbronner

#### motivation: the quartz piezometer



#### experimental basis

#### Stipp & Tullis

(Stipp & Tullis, JGR, 2003)



coaxia

#### Heilbronner & Tullis

(Heilbronner & Tullis, JGR, 2006)



Figure 1. Geometry of experimentally sheared Black Hills quartzite samples. (a) Jacketed sample after general shear deformation: BHQ sheared between  $45^{\circ}$  precut Brazil quartz pistons (total undeformed length  $\approx 15$  mm, diameter = 6.3 mm), which are able to slide horizontally relative to the upper and lower ZrO<sub>2</sub> pistons. (b) Thin section of sheared BHQ sample and Brazil quartz pistons under circularly polarized light. Horizontal cracks in the pistons result from unloading.



#### stress determination





 $\Delta\sigma$  versus e(%)

coaxia



T versus  $\gamma$ 



#### grain size determination



(Stipp & Tullis, JGR, 2003)

## what is the 'mean' grain size ?

RMS of h(d<sub>circles</sub>)

#### The recrystallized grain size piezometer for quartz

Michael Stipp and Jan Tullis Department of Geological Sciences, Brown University, Providence, Rhode Island, USA

"Recrystallized grains were distinguished from porphyroclasts manually and on the basis of the bimodal grain size distribution which occurs in all samples except W-1066 and W-1126. The diameter of each recrystallized grain is defined as the diameter of a circle with the same area, and the average 2-dimensional recrystallized grain size for each sample was calculated as the root mean square diameter from all measured recrystallized grains in that sample"

## what is the 'mean' grain size ?

The effect of static annealing on microstructures and crystallographic preferred orientations of quartzites experimentally deformed in axial compression and shear

RENÉE HEILBRONNER<sup>1</sup> & JAN TULLIS<sup>2</sup>

<sup>1</sup>Department of Earth Sciences, Basel University, Bernoullistrasse 32, CH-4056 Basel, Switzerland



#### (need 2D-3D conversion)

(e-mail: Renee.Heilbronner@unibas.ch) <sup>2</sup>Department of Geological Sciences, Brown University, Providence RI 02912, USA deformed annealed 30 W875 regime 1 W871 regime 1 25 volume % 20 15 30 W874 regime 2 W872 regime 2 25 volume % 20 15 10 W860 regime 3 W858 regime 3 25 volume % 20 15 10 31x-1-9975999N38889N3889 equivalent 3-D radius (µm) equivalent 3-D radius (µm) 5. Grain size distributions of axially deformed samples, before annealing (left column) and after annealing

5. Grain size distributions of axially deformed samples, before annealing (left column) and after annealing t column), plotted as volume % versus radius of equivalent sphere. 2D grain boundary maps were reed from misurientation images (magnification ×5 for deformed samples, ×5 for annealed samples); from the distributions of cross sectional areas were determined; from these the 3D grain size distributions were lated. Note: the maximum radius included is 40 µm, corresponding to the largest remaining porphyroclast, we indicate mode of recrystallized erain size.



6. Grain size distributions of samples deformed by shearing, before annealing (left column) and after ealing (right column), plotted as volume % versus radius of equivalent sphere. 2D grain boundary maps e prepared from misorientation images (magnification ×10 for deformed samples, ×5 for annealed ples). For explanation see Figure 5. Arrows indicate mode of recrystallized grain size.

### ... which reminds me ...

for segmentation, for 2D-3D conversion, ... and many other useful techniques...

see: Heilbronner & Barrett, Springer (2014)



copies still available at reduced rate at Margrete's office

## why go back ?

re-measure CIP grain size using EBSD: (see if CIP measurements are OK, especially fine-grained)

think about grain size

and then:

- I. check Stipp & Tullis piezometer using EBSD
- 2. check if piezometer is indeed different for different regimes
- 3. check if piezometer is same for coaxial and shear
- 4. check if piezometer is texture dependent





#### convert to CIP





w1029





w935

### segmentation



## finding the right mean...

arithmetic mean  $\overline{X} = I/n \cdot \sum x_i$ root-mean-square RMS =  $\sqrt{(I/n \cdot \sum x_i^2)} \approx$  area average Mode = most frequent value



	symm.	+ skew	- skew			
Mean	5.00	4.33	5.67			
Mode	5.00	4.00	6.00			
RMS	5.39	4.75	5.99			
Skewness	0.00	0.53	-0.53			
$RMS/\overline{X}$	108%	110%	106%			
	$RMS > \overline{X}$					

finding the right mode: for noisy data, use empirical relationship: difference (Mean - Mode) = 3 · difference (Mean - Median)

## Mean of grouped data



## Mode of grouped data (not noisy)

#### **IV Mode**

For frequency distribution, it is the value of the variable corresponding to the maximum frequency.

For example consider the frequency distribution as :

x	:	20	25	30	35	40
f	:	17	19	27	20	5

Here the maximum frequency is 27 and the corresponding value of the variable is 30. So mode is 30.

For grouped data with class,

Mode = 
$$L + \frac{h(f_1 - f_0)}{2f_1 - f_0 - f_2}$$

where L =lower limit of the class containing the mode

h = width of the modal class

 $f_1$  = frequency of the model class

 $f_0$  = frequency of the preceding of the modal class

 $f_2$  = frequency of the succeeding of the modal class

In some situation,  $2f_1 - f_0 - f_2 = 0$ , in such a case, the value of the mode can be taken as

Mode = 
$$L + \frac{h(f_1 - f_0)}{|f_1 - f_0| + |f_1 - f_2|}$$

15

...(vii)

## Mean - Median - Mode (modal value)



Empirical relationship:

Difference between the Mean and Median is

 $\sim 1/3$  of the difference between the Mean and Mode

Mode = Mean - 3 [Mean - Median] Mode = 3 Median - 2 Mean

Use this relation for noisy data

### st.dev. and RMS of grouped data

#### IV. Standard deviation (s.d.)

\*

For grouped data, if  $x_i = \text{class mark}$ ,  $N = \Sigma f_i$  then

s.d. = 
$$\sigma = \sqrt{\frac{\sum f_i (x_i - \overline{x})^2}{N}}$$
 or,  $\sqrt{\frac{\sum f_i x_i^2}{N} - (\overline{x})^2}$ 

For the ungrouped data,  $x_1, x_2, \dots, x_n$ ,

s.d. = 
$$\sigma = \sqrt{\frac{\sum x_i^2}{n} - \left(\frac{\sum x_i}{n}\right)^2}$$

The square of the s.d. is known as variance. Both are independent of change of origin.

V. Root mean square deviation (rms)

rms = 
$$\sqrt{\frac{\sum f_i (x_i - A)^2}{N}}$$
 for grouped data.

where A is any arbitrary number. But rms is least when  $A = \overline{x}$ .

Textbook Of Engineering Mathematics Debashis Dutta

## use 3D mode(s) (= my mission on earth...)

#### CQ87 regime 3 coaxial



## grain size mapping



## 2D to 3D



## finding the right modes ... and plot!



## are grains of the Y domain larger ?



(Figure 10a), the recrystallized grain size of the rhomb domain is approximately 12  $\mu$ m and that of the prism domain is approximately 19  $\mu$ m, corresponding to shear stresses of 93 and 64 MPa, respectively. The gradual

**Figure 13.** Optical micrographs (using circular polarization) illustrating the difference in recrystallized grain size between the prism, the rhomb, and other domains. Details of samples with low and high volume percent recrystallization are shown. (a) W920 with  $\gamma \sim 1.5$ . (b) Prism domain of W935 with  $\gamma \sim 6$ . Grains of the prism domain appear black; grains of the rhomb domain are gray and grains of the basal domain light. Note larger size of prism grains (arrow in Figure 13a) compared to grains of other orientations.

#### texture domain



## finding the cutoff

using density of w935.MISr1\_052\_169-th15 which is misor about Ymax histogram shows 2 maxes Y max at ~22 GV choose cutoff at 40 GV - by looking at histo median = 46.461 GV



## finding the modes



## compile the data

file	bdwidth	mean	median	mode	
1024	3	11.2799	10.9417	9.9697	
1025	3	14.3326	14.2531	13.2929	
1029	3	9.8560	8.9081	7.4949	
1050-m5	3	7.9871	6.6192	5.4242	
1051-m5	3	7.2336	5.6598	4.3131	
1081-m4	3	10.5499	8.8464	6.2525	
(same)	2	10.5499	8.4985	5.8990	
1081-m5	3	7.8843	6.5423	4.7071	
II26-m2	3	11.2210	11.4041	11.8788	(truncated to 0-15)
1143-m2	3	15.9396	16.0590	16.1919	
w935	1.5	9.5255	8.8836	7.4747	
w946	1.5	6.7962	4.9580	3.7222	
w1092	1.5	5.9887	3.7802	2.8333	
w935 Ymax	1.5	10.5231	9.8970	8.3990	
w935 antiYmax	1.5	8.6637	8.0686	6.6667	







100% -

### was it worth it ?

I. check Stipp & Tullis piezometer using EBSD measured same h(d) - modes of v(D)  $\approx 2 \cdot RMS(d)$ 

2. check if piezometer is indeed different for different regimes cannot say yet - not enough data re-done for regime I for shear: maybe all the same

3. check if piezometer is same for coaxial and shear no the same

4. check if piezometer is texture dependent yes it is !:-)



DRT 2015 Aachen

## 2. olivine - pyroxene (= work in progress)

motivation:

torsion experiments to find flow law for mantle material

first finds: dislocation creep and diffusion creep

aim of microstructure analysis: step I: find grain size(s) of olivine and pyroxene step 2: find shape(s) step 3: find spatial relations

... think about results

... see forthcoming paper by Miki Tasaka



Miki Tasaka David Kohlstedt Mark Zimmermann

Univ. Minnesota, Minneapolis

70:30 mixture olivine-orthopyroxene

Paterson apparatus  $T = 1200^{\circ}C$   $p_c = 300MPa$   $\dot{\gamma} = 1.6 \cdot 10^{-4} s^{-1}$  $\gamma = 1.9$ 







segmentation
grain boundary map
grain map (segments)

## 2D and 3D grain size distributions







## grain size mapping





 $\Rightarrow$  size domains

### orientation mapping







 $\Rightarrow$  random orientation

## shape factor mapping





SFI = P<sub>measured</sub> / P<sub>equivalent</sub> = large if grain boundary lobate

 $(0.00 < SFI < \infty)$  $(0.00 < SFI < \infty)$ 

## 2 phases - 4 grain sizes !



орх

o





### preferred orientation ?





## intersecting 2 feature bitmaps



#### intersecting 3 feature bitmaps



 $(1.5 < SFI < 2.0) \cap (1.75 < a/b) \cap (15^{\circ} < \phi < 45^{\circ})$ 

## feature space



## take-home message(s)

- use image analysis (processing) to measure not to illustrate
- use state-of-the-art image analysis to match state-of-the-art experimentation
- think twice before declaring "the mean grain size"
- use modes of 3D grains they are most meaningful
- put the numbers back into the picture  $\rightarrow$  map  $\rightarrow$  visualize
- think of images as maps → be quantitative → scale and calibrate (you can observe a lot by watching) → (you can understand a lot by measuring)
- think of microstructures as multidimensional → plot data in feature space (= intersect images)

... and be happy if you do not get a simple answer

#### announcement



#### **TS1** – Brittle Deformation and Fault-related Processes

Programme Committee Login

Suggest a Session here

#### **TS2** – Ductile Deformation, Metamorphism and Magmatism

Programme Committee Login

Suggest a Session here

#### Suggested Session

Advances in Microstructure and Texture Analysis [Suggest a new Title] Conveners: Renee Heilbronner, Rüdiger Kilian [Suggest a Convener and Description Change]